

THE U.S. 3¢ 1857 ISSUE

ROBERT R. HEGLAND

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The U. S. 3¢ 1857 Issue

Robert R. Hegland

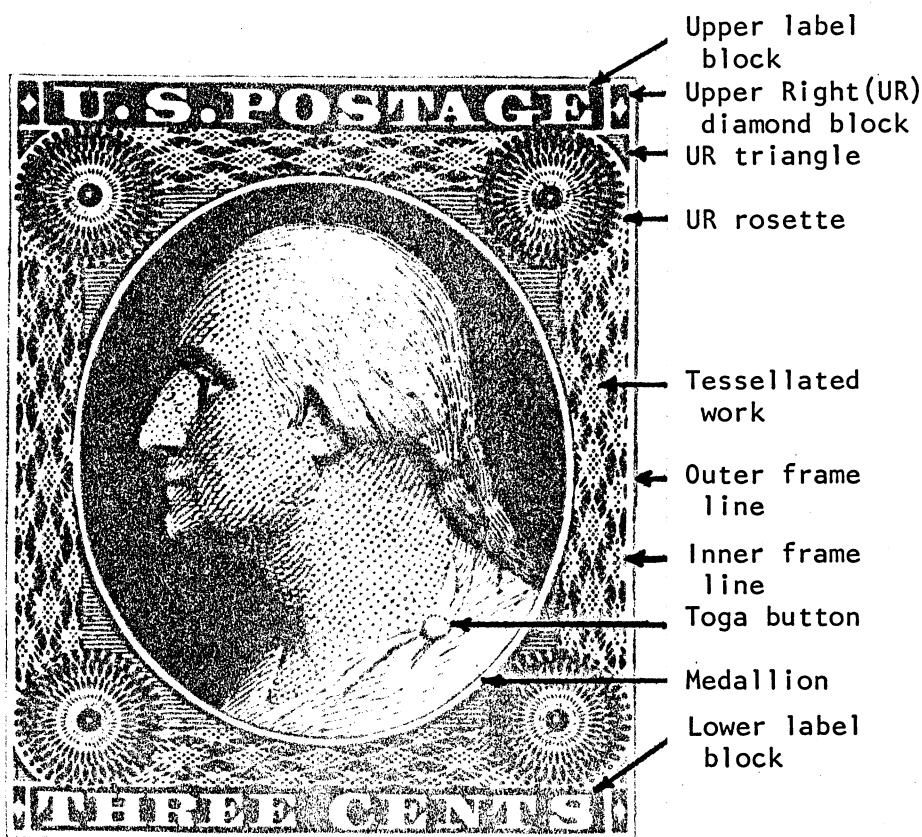
Many of the individual items from this collection were used to illustrate the plate varieties in articles published in The Chronicle in 1980.

Any collectors reviewing this collection are invited to send their notes on dates of usage of any of these plate flaws to this collector to record for future publication.

Robert R. Hegland
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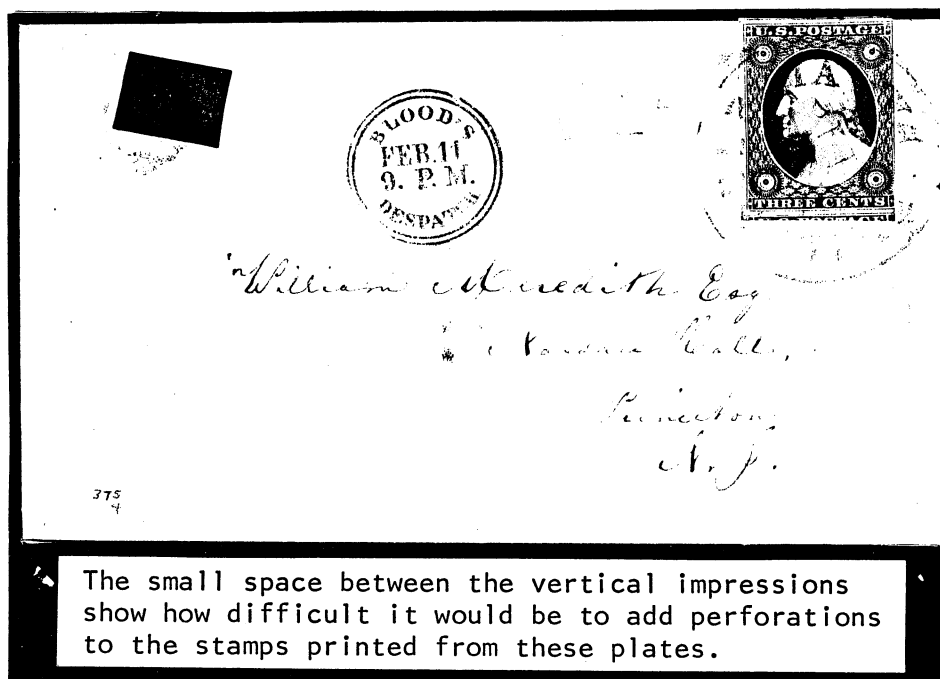
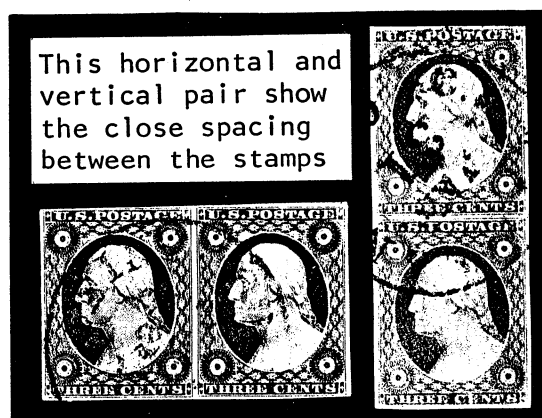
The U. S. 3¢ 1857 Issue

BACKGROUND: In 1856 and 1857 the Post Office Department negotiated with Toppan, Carpenter, and Co. to begin perforating the designs that had been in use since 1851. As early as 1852 various businesses privately used mechanical devices to help in separating individual stamps from the imperforate sheets. The quantity of stamps needed in the late 1850's was increasing dramatically with the westward movement. The nation was growing and the volume of mail and demands on the Post Office Department reflected this demand. The Department introduced perforating in 1857.



The design of the 3¢ issue is simple and pleasing to the eye. To aid in the discussion of the design and the location of points of interest, certain names have been assigned to the different portions of the design. Some of these names are shown on the above diagram.

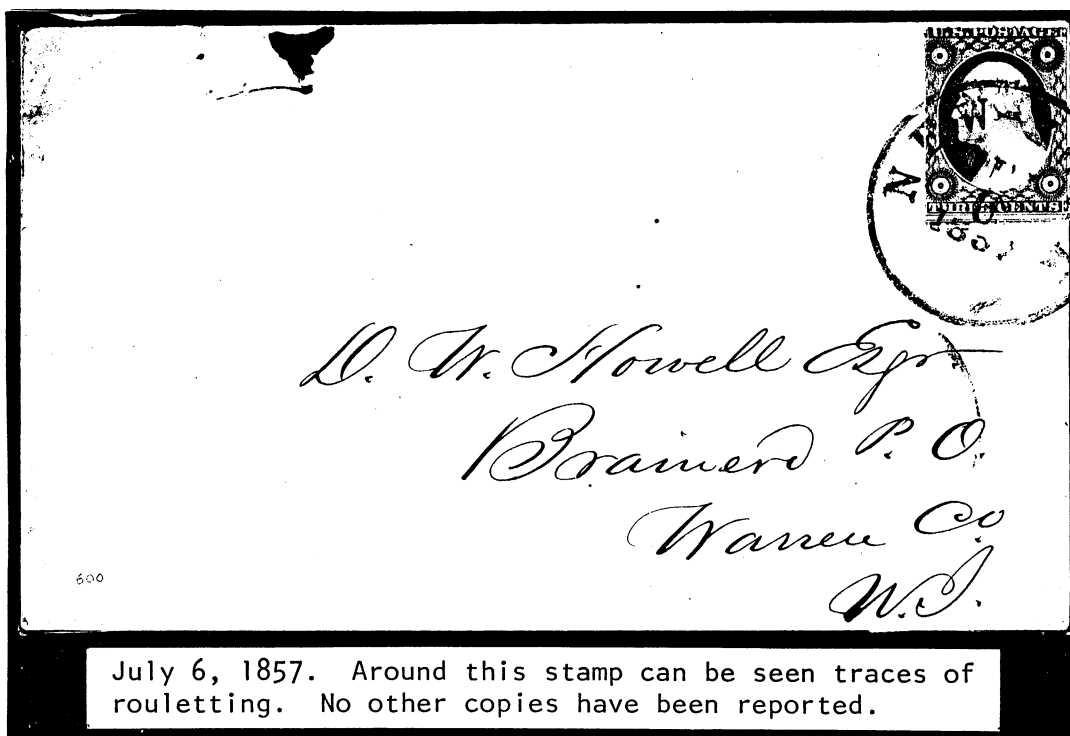
1851 IMPERFORATE ISSUE: In 1851 Toppan, Carpenter, Casilear & Co. won the contract to print a series of postage stamps. The designs finally chosen remained in use until the Civil War. The firm had previously only printed paper money - never stamps. Perhaps this helps to explain why they didn't leave more space between the stamps to allow for easy separation. Most of the copies that have survived have been cut into during the separation process.



EXPERIMENTAL ROULETTEING: Separating individual stamps from sheets was usually done by scissors. Occasionally there were attempts to make this separation easier by rouletteing the sheets. These attempts were evidently made by firms with large mailings. They did not last for any significant length of time.



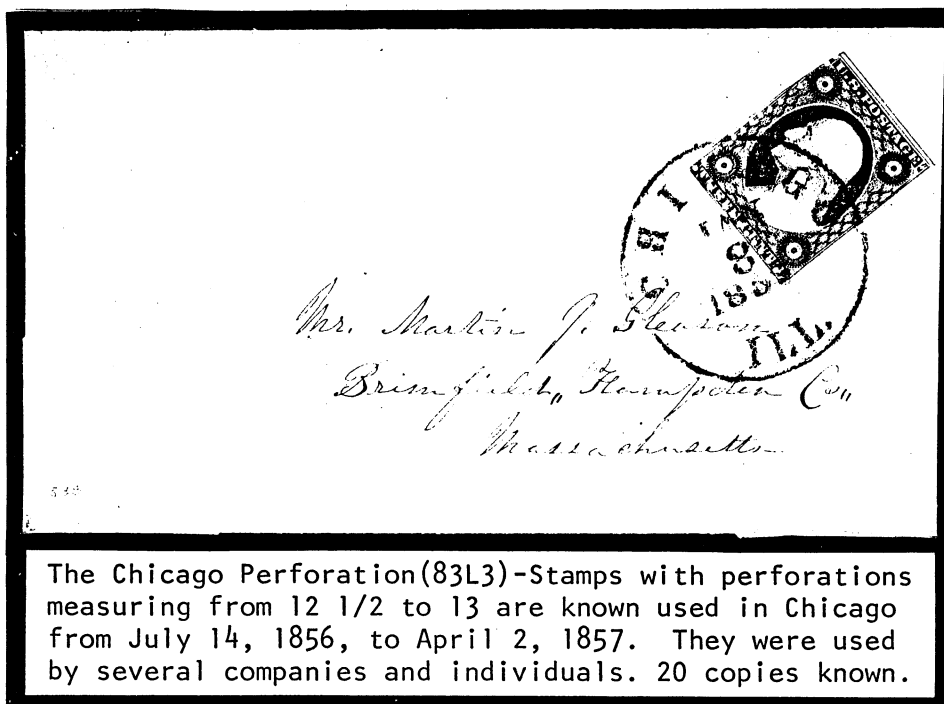
In 1852 and 1853 an unofficial rouletteing gauging from 5 to 7 was used in Newbern, NC. About 25 copies are known including 4 reconstructed pairs only one of which shows the rouletteing between the stamps.



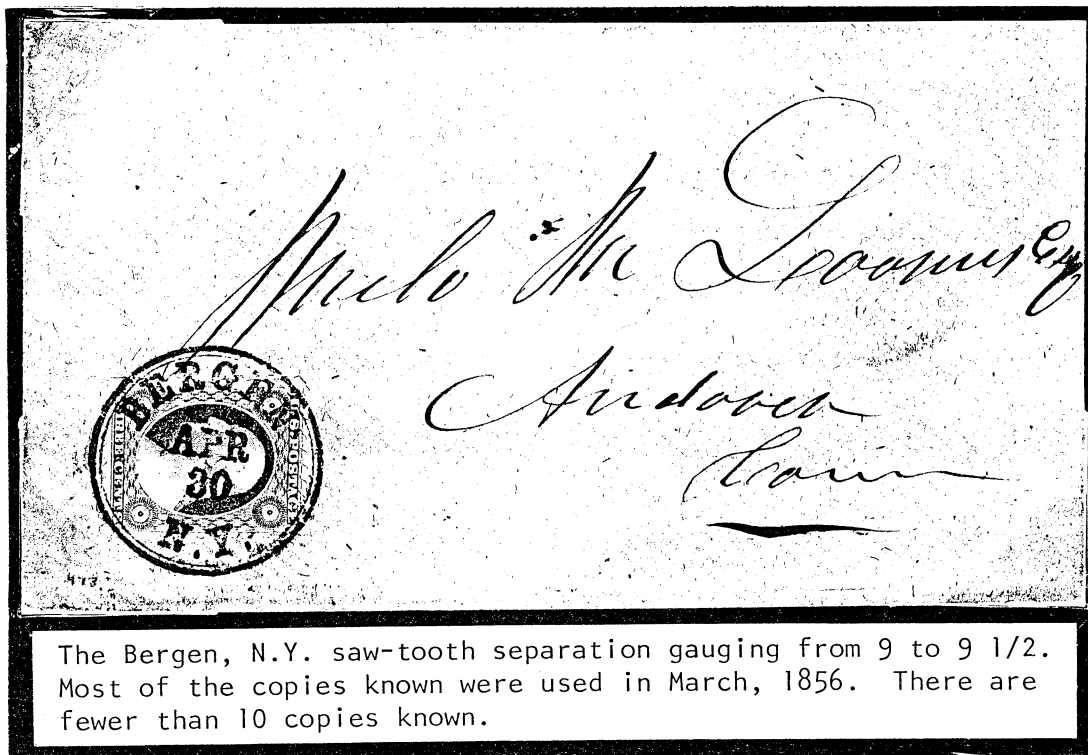
EXPERIMENTAL PERFORATIONS: The so-called "experimental perforations," which are better termed "unofficial separations," were used from some cities in 1856 and 1857. Some are perforated others are saw-toothed.



Although intended to help postal patrons separate individual stamps, these examples of the Chicago perf show separation by scissors. This is normal for copies of this experimental perf.



The Chicago Perforation(83L3)-Stamps with perforations measuring from 12 1/2 to 13 are known used in Chicago from July 14, 1856, to April 2, 1857. They were used by several companies and individuals. 20 copies known.





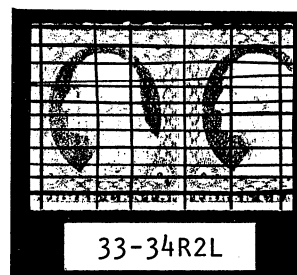
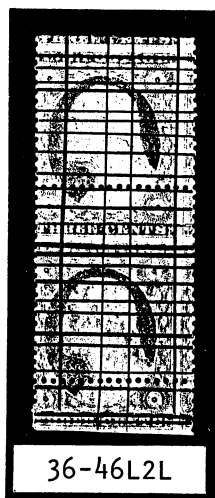
Klemm & Brother



*W. C. Crosby Esq,
Attorney at Law
Bangor
Me*

A Philadelphia, PA saw-tooth separation used June 17, 1857.
Only two copies of this saw-tooth are known. The other has
a different corner card.

AUTHENTIC TRIAL SEPARATIONS: When Toppan, Carpenter & Co. acquired the perforating machine from Bemrose and Sons, they evidently ran experiments with a few sheets of stamps. The sheets are of a color used in 1855 and are heavily ruled with black ink horizontally and vertically. The rouletting is $7\frac{1}{2}$ horizontally on both pairs shown. The vertical pair also shows horizontal perforations of 16 and vertical perforations of $15\frac{1}{2}$. Five pairs are known.



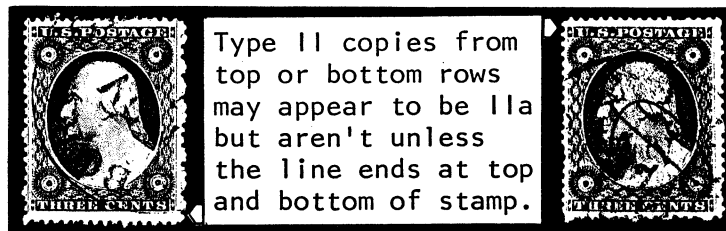
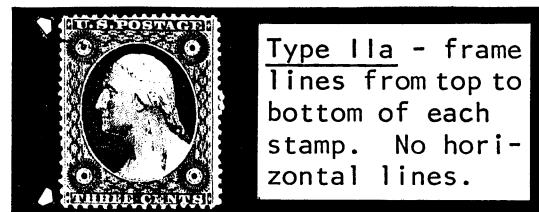
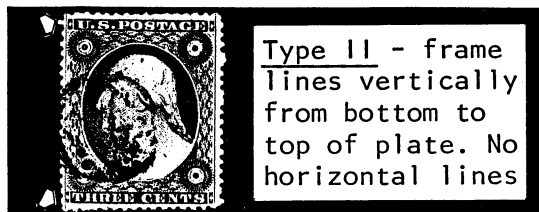
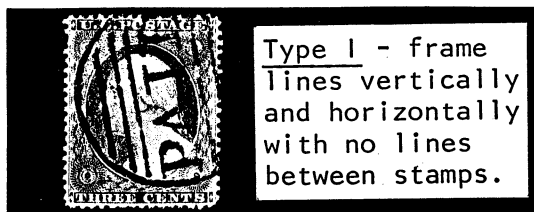
BASIC TYPES OF THE 3¢ 1857: After the perforating started, it became evident that there was insufficient room between the impressions to allow space for the perforations without cutting into the design. It was decided that new plates must be made.

Type I - This type resulted from perforating the stamps made from the old, closely-spaced plates. There are horizontal frame lines at the top and bottom of each stamp.

Type II - This type resulted from the new plates. The frame lines run from the top of the plate to the bottom with no horizontal frame lines and no break in the line between each stamp.

Type IIa - This type also resulted from the new plates but there is a break in the frame line between each stamp. There are no horizontal frame lines.

Care must be taken in identifying Types II and IIa since the frame lines of both types end at the top and bottom of the sheet. In order to be a Type IIa stamp the frame lines must end at both the top and the bottom of the stamp.



PLATES USED FOR 3¢ TYPE 1: The plates that were used for the early attempts at perforating that had been used for the imperforate issues were plates 2L, 3, 4, 5L, 6, 7, and 8. Plates 4, 6, 7, and 8 were used much more than were those with inner frame lines as shown by the following printing statistics:

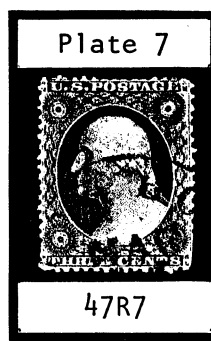
Plate Number	<u>Millions Issued-Perf</u>	
	<u>With Inner Lines</u>	<u>With No Inner Lines</u>
2L	.5	
3	.5	
4		7.8
5L	.6	
6		7.8
7		15.5
8		6.2
Total	1.6(4.1%)	37.3(95.9%)

Of all the stamps printed from the plates used for the Imperforate issue, the imperforate stamps amount to 90.4% and the perforated 9.6%. Of those 9.2% are without inner lines and only .4% have the inner lines.

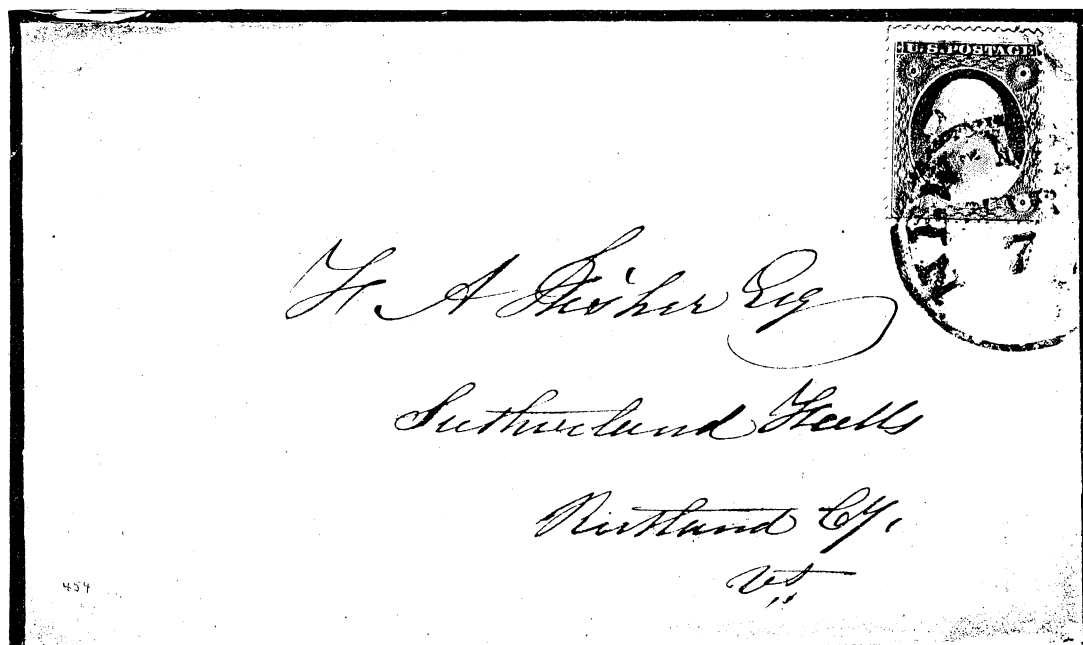
With Inner
Frame Lines



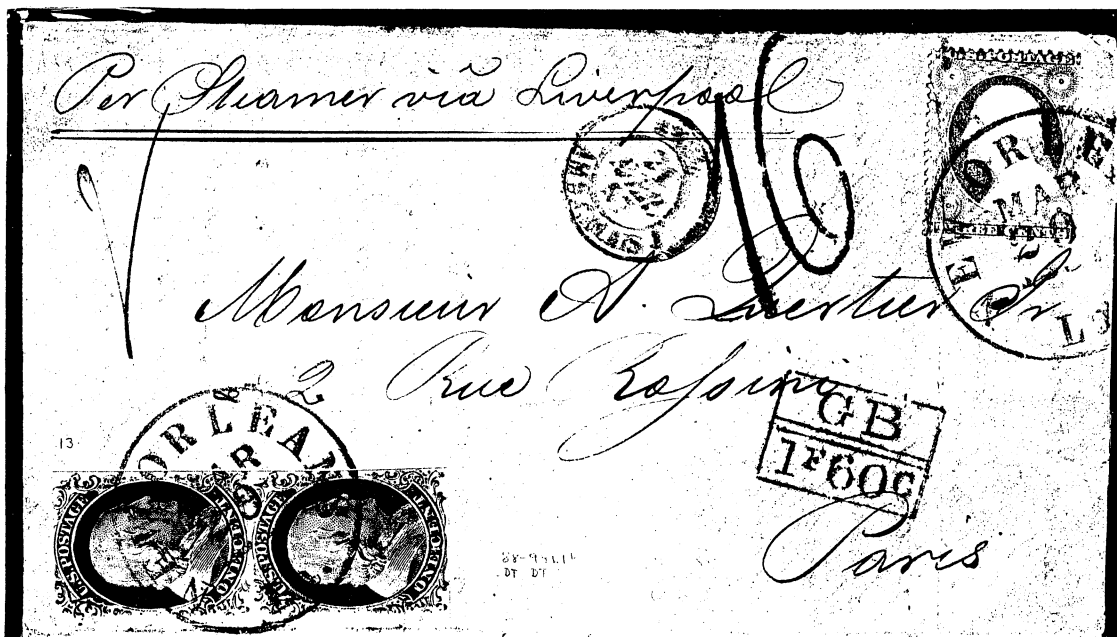
With No Inner
Frame Lines



EARLY PERFORATIONS: In early 1857 Toppan, Carpenter, & Co. produced a limited number of sheets of the 1851 imperforate issue with perforations in response to a government contract. These were evidently used mostly at major cities in the east such as New York and Washington City. Their usage was very limited in late February through July 1857 but began to appear with regularity after that time until the new plates for Type II and Type IIa were ready to carry the majority of the production needs.



Early use of the perforated Type I dated March 7, (1857). The stamp is from plate 6 or 7 as are all of the very early copies that are known.



Early use of the 3¢ perforated on March 29, 1857 in combination with two 1¢ imperfs. This cover was used during the three month period. Only after April 1 could letters be fully prepaid to France. This rate represents the U. S. inland rate. The recipient had to pay sea and inland French postage.

PERFORATION VARIETIES ON TYPE I: Not only were the stamps from Type I very close together on the sheets but the firm applying the perforations was new to the task. Many examples exist where the perforations are far from being where they should be. Neither the public nor the postmasters appear to have fully appreciated the new method of separating stamps from the sheets since they were often still cut from the sheets with scissors and the perforations were not used.



Evidently cut into vertical strips, then cut or torn with care being taken to include the THREE CENTS



Evidently cut into horizontal strips, then torn on the vertical perforations for singles

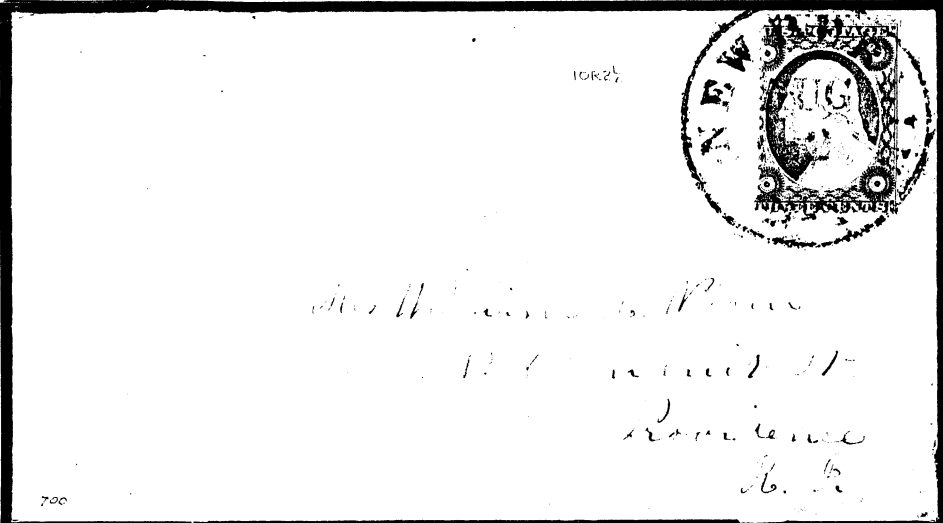


Misplaced horizontal perforations are found frequently on Type I copies

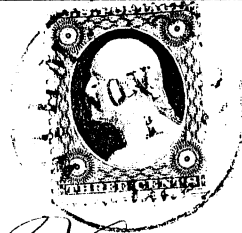


Vertical perforations misplaced as far as shown on this pair are found infrequently

ENGRAVING VARIETIES ON TYPE 1: Many of the varieties that are found on the imperforate stamps can be found on the perforated.



10R2L Recut Button - Several small lines have been recut around the toga button. This position was designated as a cracked plate for a time but study shows it to be recut



Washington Townsend Esq.

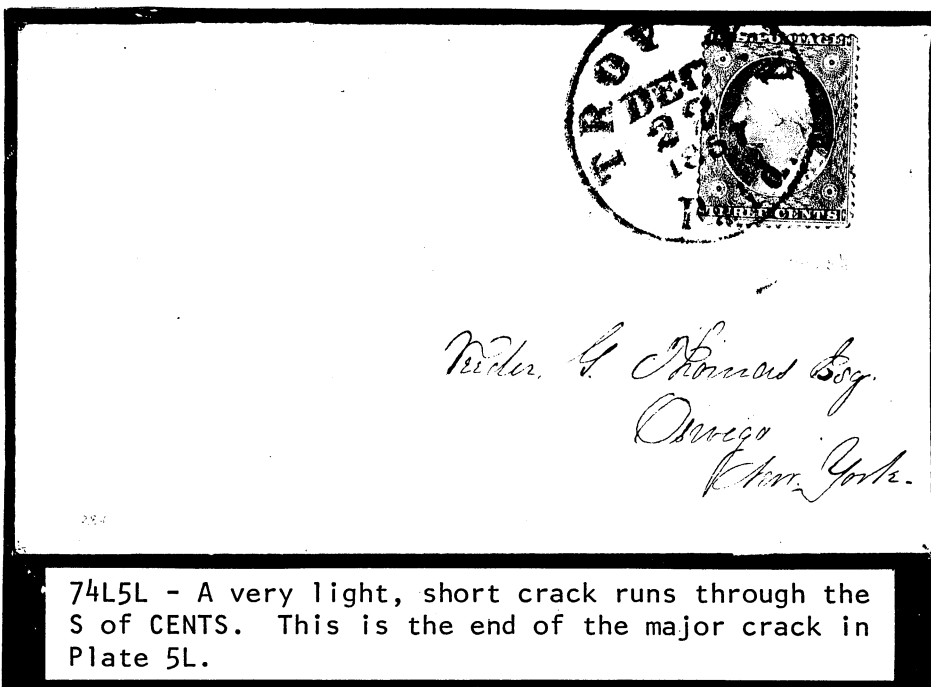
West Chester

Pa.

Pa.

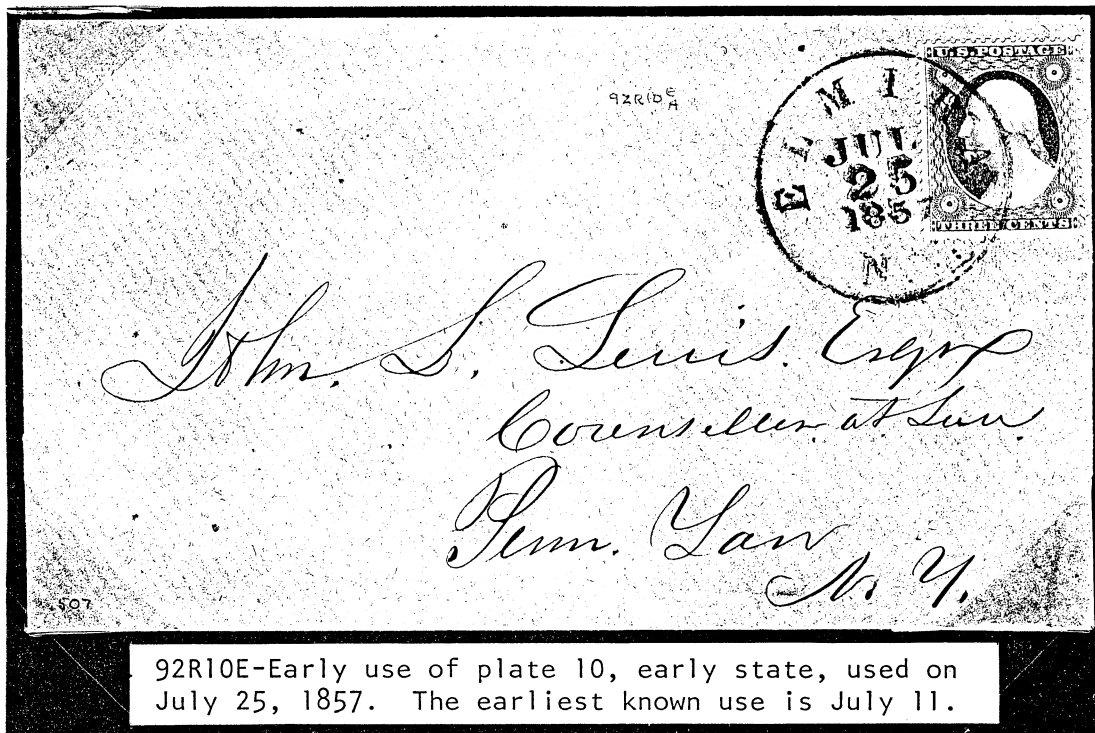
47R6 Recut Bust - Several small lines have been recut on the bust and two lines have been recut at the bottom of the medallion.

CRACKED PLATES ON TYPE 1: One of the plates used for the imperforate stamps developed a crack late in its use and so copies can be found on the perforated Type 1. The crack probably developed from warming or cooling the plate too rapidly during the printing process.



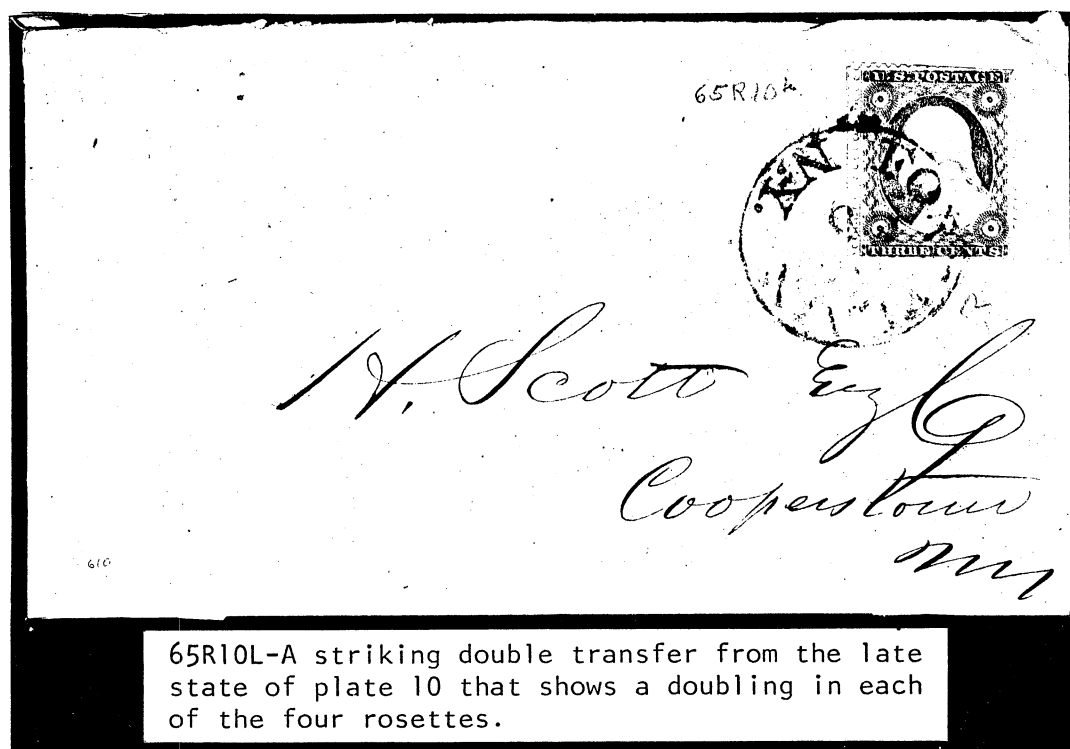
PLATES USED FOR 3¢ TYPE 11a: Only two plates were used for printing the Type 11a stamps, which had frame lines that ran only from the top to the bottom of the design with a break between positions in different rows. These plates, however, were each reentered two times creating an early, intermediate, and late state of each plate. It has been estimated that the following quantities were used from each state:

<u>Plate</u>	<u>Millions Issued</u>	<u>% of Total</u>	<u>% by Plate</u>
10E	7.3	22.2	
10I	7.3	22.2	66.6
10L	7.3	22.2	
11E	5.0	15.2	
11I	5.0	15.2	33.4
11L	1.0	3.0	
	<u>32.9</u>	<u>100.0</u>	<u>100.0</u>



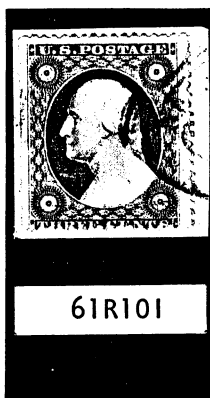
92R10E-Early use of plate 10, early state, used on July 25, 1857. The earliest known use is July 11.

DOUBLE TRANSFERS ON 3¢ TYPE 11a: The double transfers (DT's) on the type 11a stamps are numerous. These were caused, generally, when the engraver burnished down the existing plate and attempted to reenter the design over an old design to strengthen it. The late states of Plates 10 and 11 show some striking double and triple transfers that show most strongly in the center circle of the rosettes. Plate 11L has a particularly large number of these reentries.



65R10L-A striking double transfer from the late state of plate 10 that shows a doubling in each of the four rosettes.

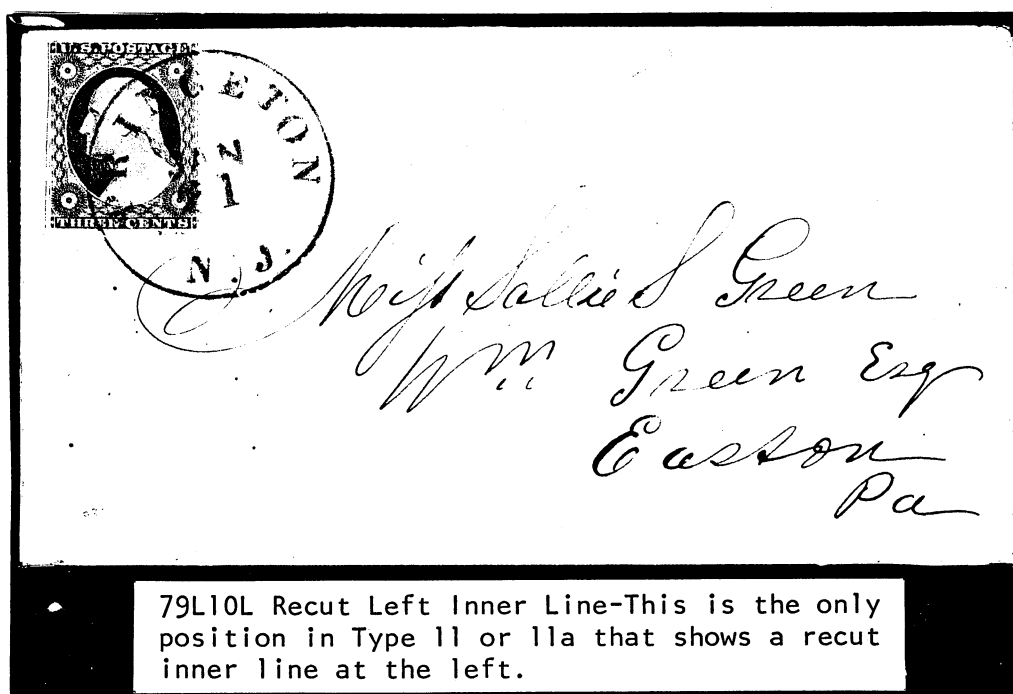
THE "PHANTOM E" DOUBLE TRANSFERS: Two different positions on Plate 10 show nearly identical and very remarkable double transfers. Both 61R and 98R on the intermediate and late states of the plate show a line through the lower right rosette. Careful inspection and measurement of this "line" has led to the conclusion that it is, in reality, part of the outline of an E, evidently from the E in POSTAGE in the top label. That such a shift could occur in position 61R is understandable under the 6 relief roll entry system that was used. The cause of the same shift in the bottom row is much harder to understand and to accept.



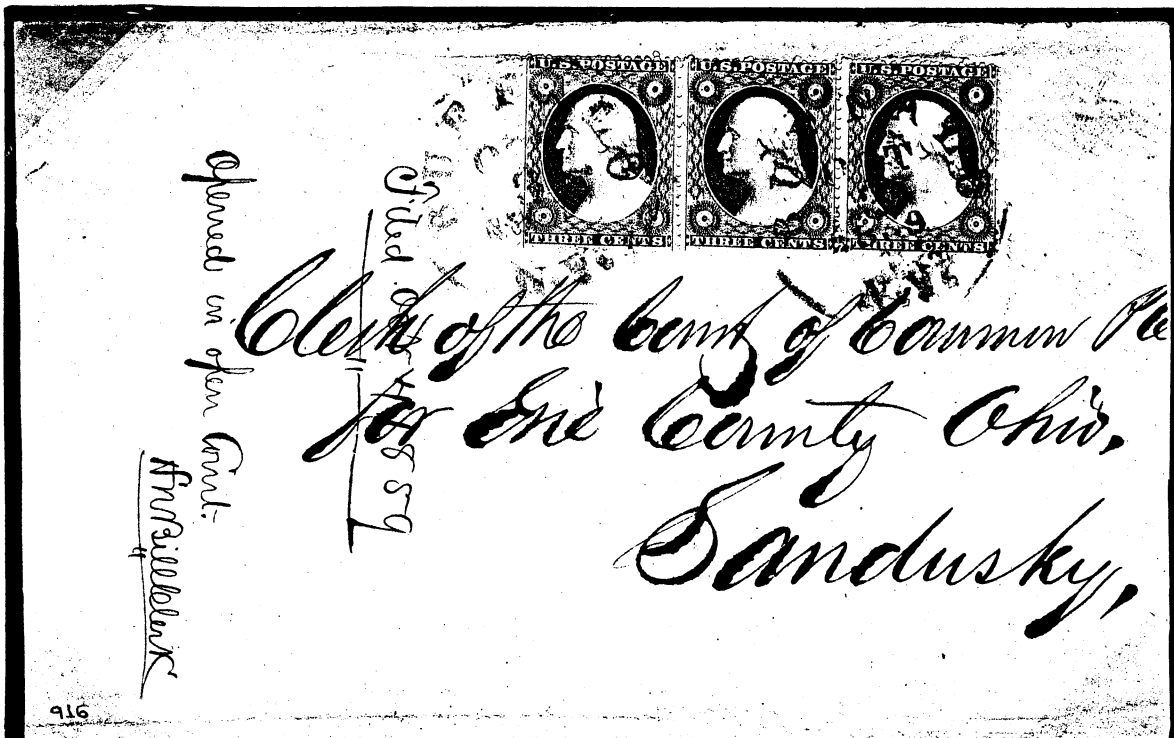
RECUT INNER FRAME LINES ON TYPE 11a: Type 11a has recut inner right frame lines on 17 different positions and a recut inner left frame line on only one position.



An example
of one of
the recut
inner right
frame lines

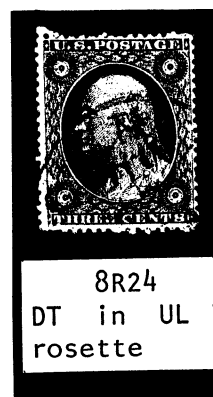
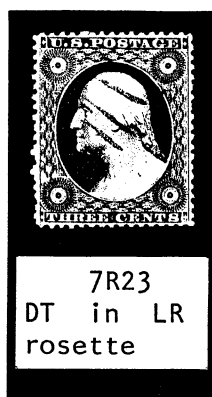
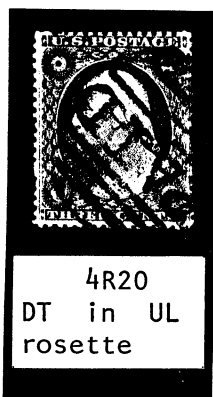
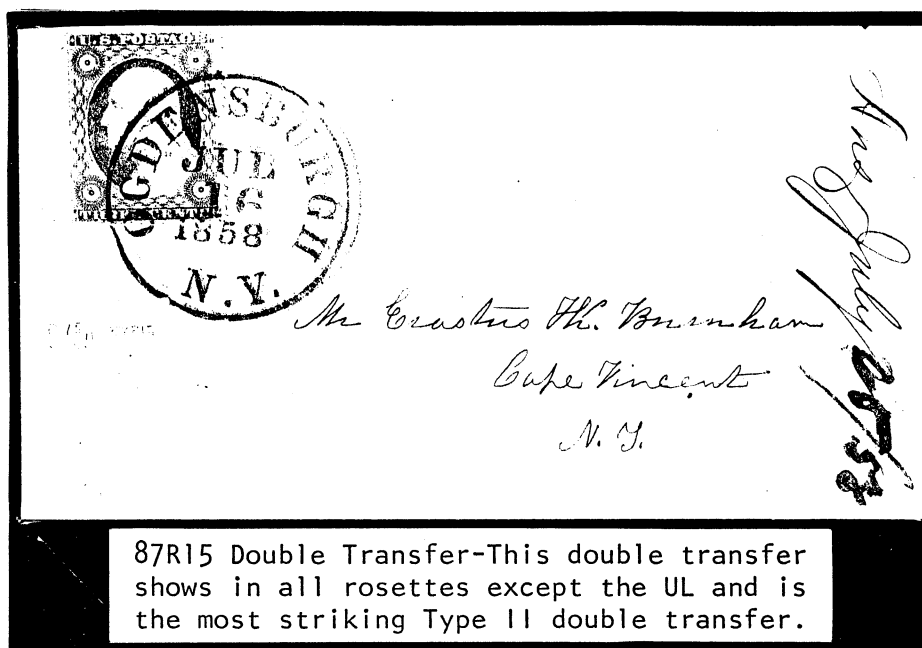


PLATES USED FOR 3¢ TYPE II: Plates numbered 9 and 12 - 28 were used for printing the Type II stamps, which had frame lines that ran from the top of the plate to the bottom with no break between the individual stamps on the plate. Since there are two of those plates that existed in both early and late states, a total of 20 different plates were used. Examples from some of these plates are very common. Other plates were evidently used for only a very short period of time and examples from those plates are very rare and desirable items.

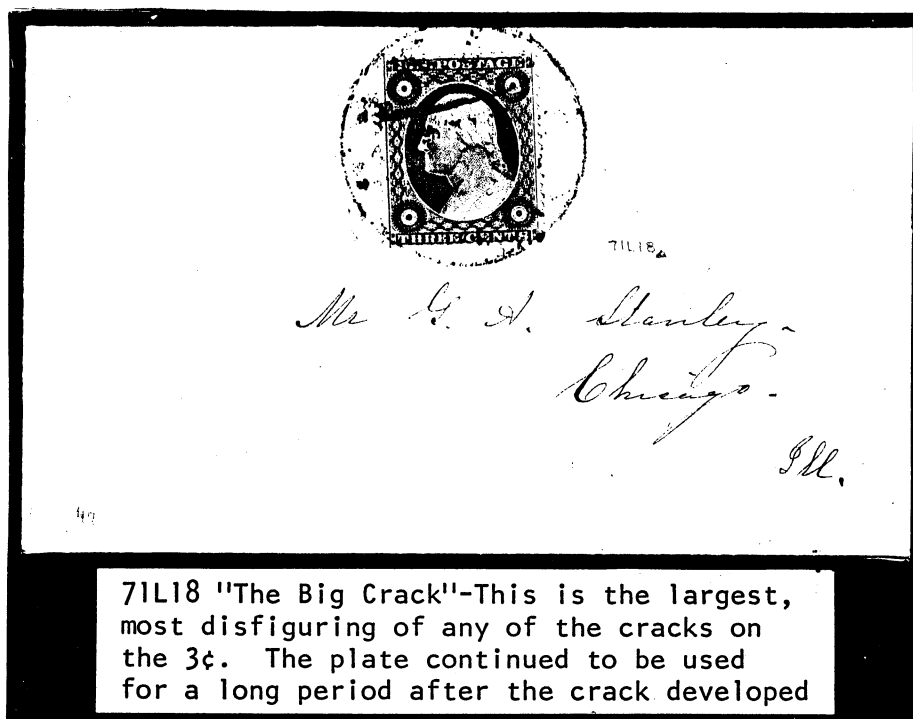


October 3, 1859 - Earliest known use of Plate 26; 4-6R26. The previous early date was January 21, 1860. This strip shows the rosette flaw in 4R26 and the wishbone crack between 5-6R26.

DOUBLE TRANSFERS ON 3¢ TYPE II: There are relatively few major double transfers on the Type II stamps. Only one position shows significant doubling in the centers of more than one rosette. A few other positions show significant doubling in only one rosette. Many positions show a slight shift in the centers of the rosettes.

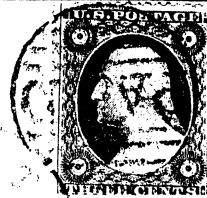


CRACKED PLATES OF 3¢ TYPE II: Only two different cracks are known on plates that were used to prepare the Type II stamps. One is the large, well-known "Big Crack" and the other the crack through the lower right rosette. Many copies of the Big Crack are known but only 5 copies of the crack through the rosette are known.





Crack through
LR rosette

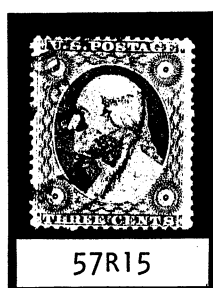
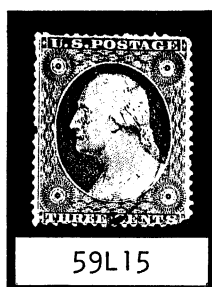


Augustus J. Parker Esq.
Wells River
Vermont

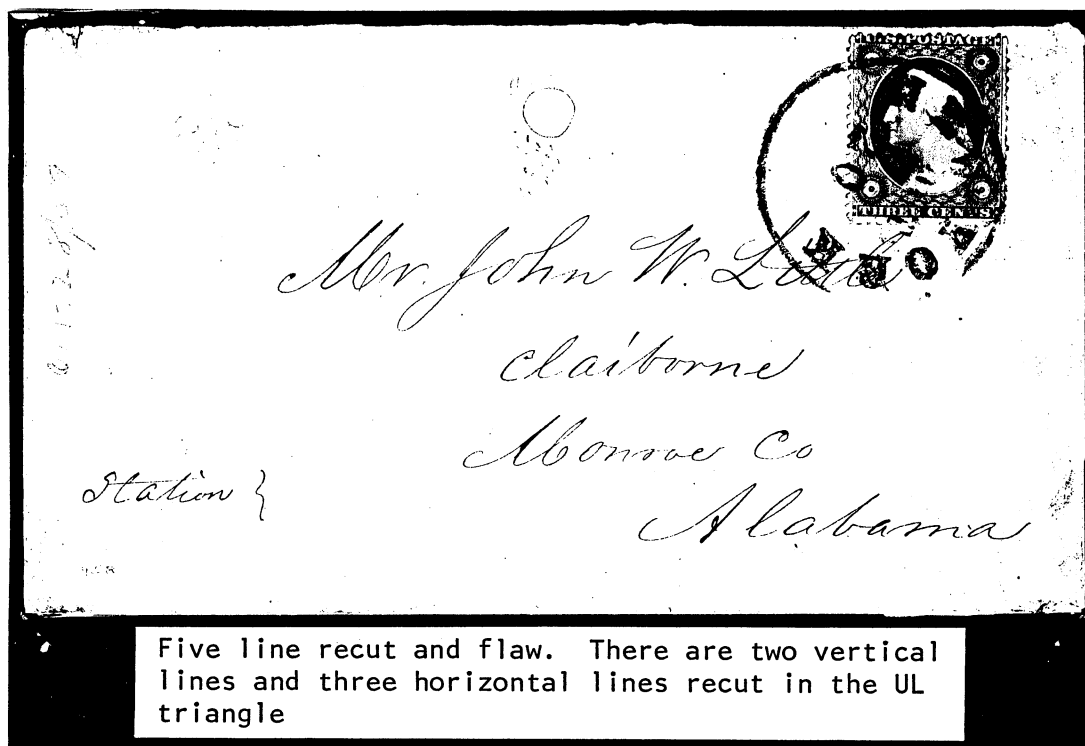
Crack through lower right rosette. Only one copy of
this crack is known on cover.

RECUTTING ON TYPE II: There was a greater variety of recutting on the Type II plates than there was on the Type IIa plates. This recutting ranges from the light recutting of the triangles on Plate 15 to the recut inner right frame line on Plate U.

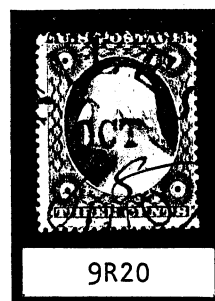
Triangle Recutting: Several different positions on Plate 15 show very light recutting in the upper left triangle. Most of these were also recut in the top of the left tessellated work. These light lines tended to wear away as the plate wore.



Triangle Recutting: Only one triangle was recut on the Type II plates except for the triangles recut on Plate 15. This one triangle which has five lines recut has not yet been plated. This position also shows a flaw with three dots in it below the triangle in the tessellated work.



A Relief Flaw Recutting: Only three positions on the plates used for the Type II stamps had both of the white areas over the lower left rosette recut or retouched on the A Relief.



RECUT INNER FRAME LINE ON TYPE II: Type II has one recut inner line on all of the plates used for the Type II. It is from position 3R of a yet unidentified plate that has been given the designation of Plate U.



3RU
This is the
only recut
inner line
on Type II

SLIPS IN RECUTTING THE FRAME LINES ON 3¢ TYPE 11: While the engraver was recutting the frame lines prior to using the plates for printing, his engraving tool slipped many times producing some light and some heavy extra lines that are connected to the frame lines but that diverge from the frame line. These exist in both the right and in the left frame line and both to the right and to the left of the primary frame line.



6L15
Slip in the
rt frame ln.



1L24
Slip in the
rt frame ln



8L15
Slip in the
rt frame ln



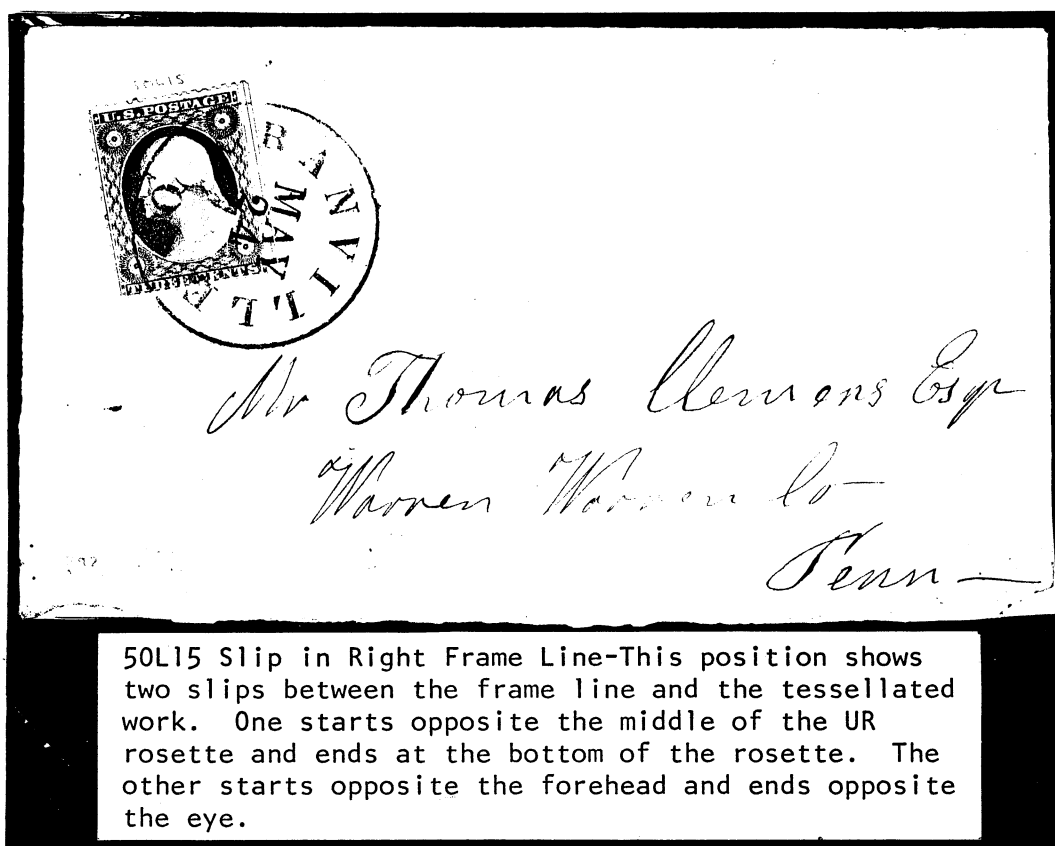
60R23
Slip in the
rt frame ln

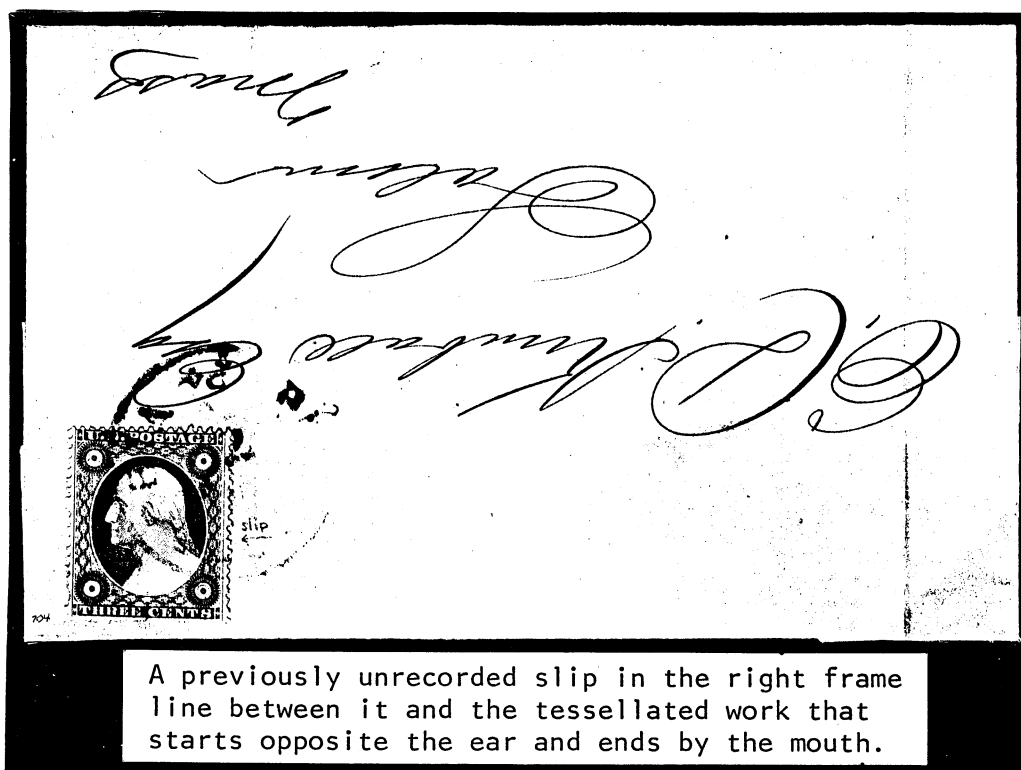


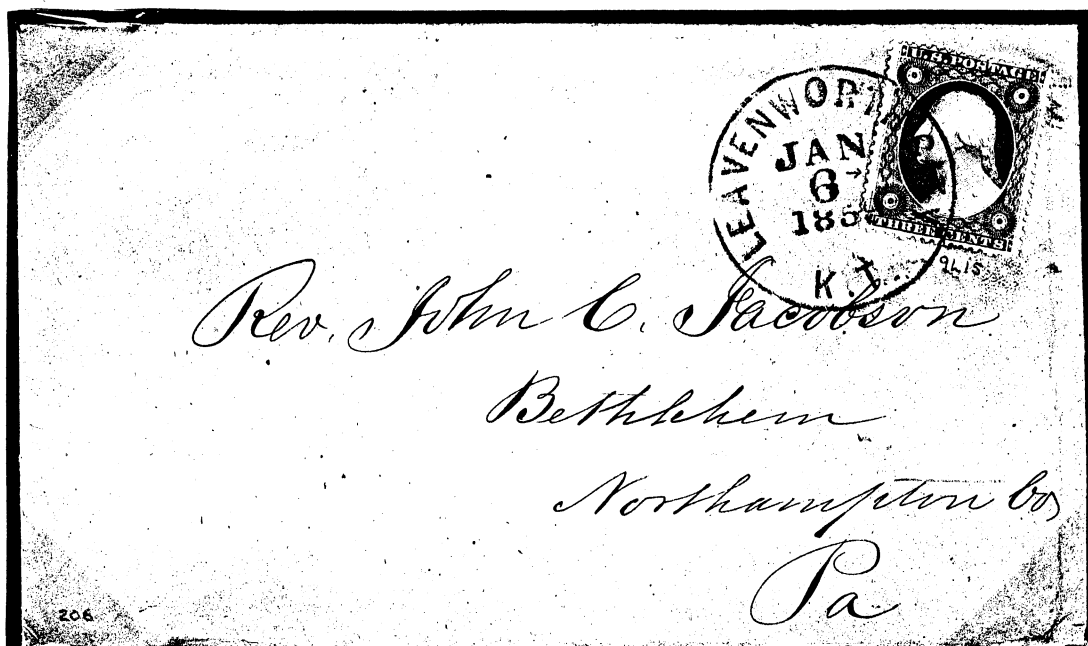
99L15
Slip in the
rt frame ln



100R9
Slip in the
rt frame ln







9L15 Slip in Left Frame Line-This slip starts in the left margin opposite the LL rosette and extends up to opposite the chin.



7R15
Triple left
frame line



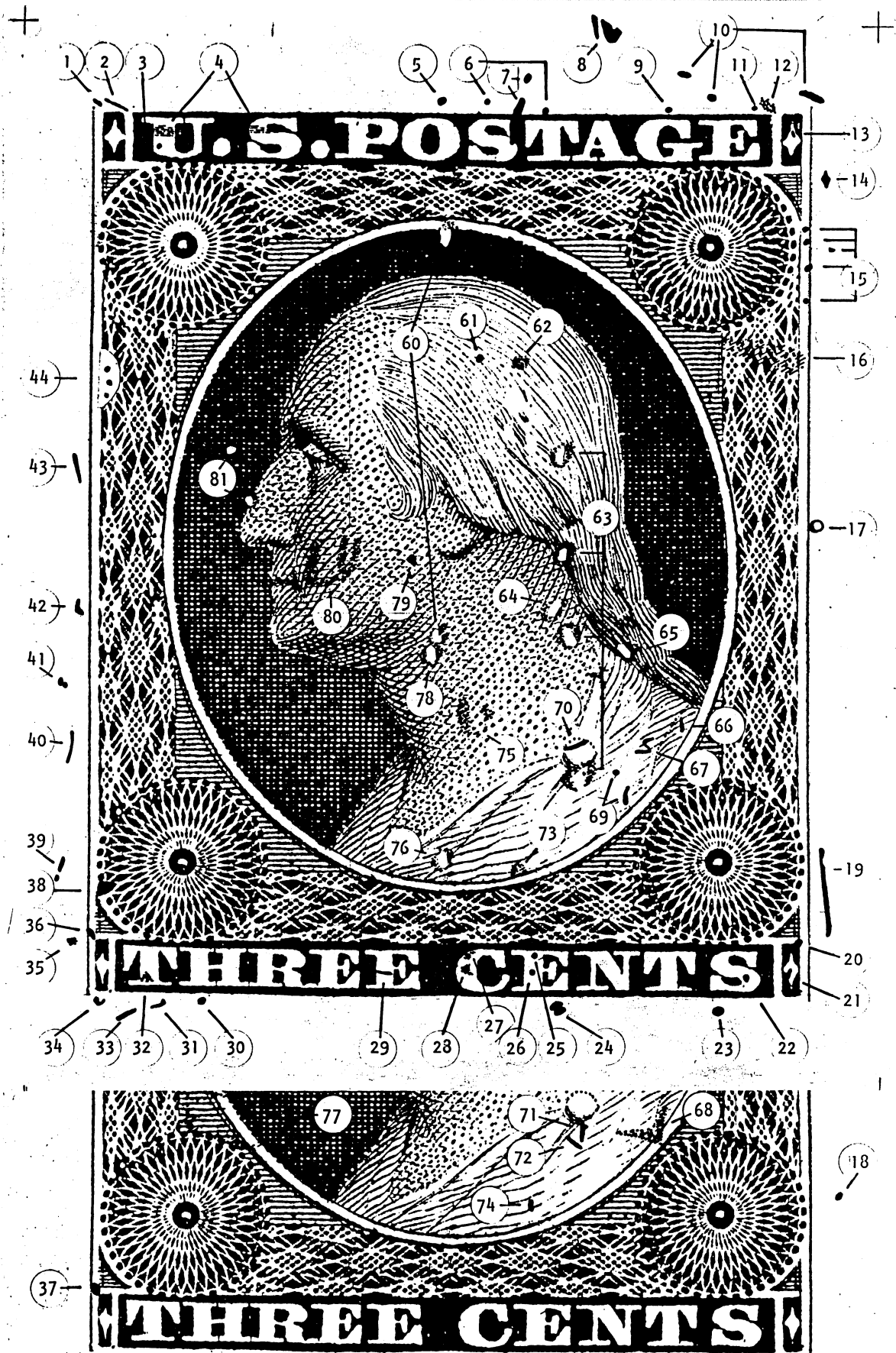
99R15
Triple left
frame line



slip l
slip left
frame line
at bottom



1L28
slip left
frame line
opp. nose



Published in Chronicle 105 of Feb 1980, these charts show the plate flaws. The following flaws are keyed to these flaw numbers.

Flaw No	Position	Name of Flaw	Comments	Sources (a)
60	74R25	Double Medallion Flaw		1 o 65/9
61	3L20	Small Dot in Head		o 65/3
62	92L111	Large Blur in Head		o Chr 87
63	18L28	Quadruple Flaw		2 o 66/2
64	58R26	Flaw by Back of Neck		o 65/11
65	14R28	Queue Flaw		5 o 65/12
66	10R25	Shoulder Dash		o
67	--	Shoulder S		28 o
68*	34R20	Triangle on Shoulder		25 o 65/4
69	--	Shoulder Semicolon		27 o 66/6
70	--	Split Button		26 o 66/4
71*	--	Pendant under Button	D Relief	6 o 66/5
72*	--	Slash under Button	6th row	o 67/1
73	75R28	Blur over E of CENTS		o 65/16
74*	--	Dash over E of CENTS		11 o 65/15
75	56R28	Big Neck Flaw		x 66/11
76	--	Flaw between E C		50 o 66/1
77*	--	Weak over LL Rosette		9 o 66/7
78	2L26	Small Neck Flaw		o Chr 54
79	27R25	Dot by Ear		3 o 66/3
80	48R26	Cheek Flaw		o 65/13
81	27R28	Double Dot by Nose		
82-	--	reserved		

d The author's copy has only a faint blur
f A "i" indicates a top row copy that has not yet been plated
g Shows on intermediate and late states
h The weak area covers the entire UR corner of the impression
i The author's copy from Chase has notes discussing an identical copy owned by Lester Downing
k Discussed and illustrated in the "Perf Book" on page 50.
m Chr 6 states the position is 54R24 but should read 52R24
n T. W. Simpson states that this flaw does not always show

Abbreviations:

Ros - Rosette
UL - Upper left
LR - Lower right
Rt - Right
Tri - Triangle
UR - Upper right
NW - Northwest
DBlk - Diamond Block
LL - Lower left
Lt - Left

Flaw No	Position	Name of Flaw	Comments	Sources (a)
1	--	Dash over UL DBlk	F Relief	32
2	84R10	Dash over UL DBlk		31 o
3	9L20	Dot in U of U.S.		39 o
4	2L9	US Flaw		7 o 65/17
5	10R15	Dot over 0	see also Flaw #16	o 65/1
6	10R27	Dots over ST		12 o
7	9R15	Dash thru S		46 o 64/8
8	8L15	Blob over A		o
9	10R20	Dot over G		16 o 65/2
10	6L24	Dots over GE		13 o 65/5
11	85R10 c	Dot over E		o 64/5
12	71R24 d	Crescent over E		o 65/8
13	T53	Dash in UR DBlk		o Chr 47
14	--	Diamond by UR Tri		53
15	35R11 g	5 Dots Flaw		45 o 64/7
16	10R15 h	Faint UR Corner	see also Flaw #5	o
17	46R28	Large Rt Margin Dot		x 65/14
18*	--	Small Rt Margin Dot	10th row	x 66/12
19	48L24	Heavy Dash in Margin		49 o 65/6
20	--	Dot over LR DBlk	10th row	o
21	--	Dash LR DBlk		24 o 67/2
22	--	Dot in S of CENTS	T144 f	o
23	10RP (14)	Dot under S of CENTS		o 64/6
24	99R10L	Dot under E of CENTS		x Chr 47
25	T56 f	Dot high in E of CENTS	6th row	x 66/8
26	--	Dot low in E of CENTS		21 o
27	--	Blur high in C of CENTS		22 o
28	--	Blur low in C of CENTS		23 o 66/14
29	--	Dash in E of THREE	C Relief	o Chr 46
30	T52b f	Dot under H of THREE		48 o Chr 83
31	--	Hook under T of THREE		38 o 66/13
32	27R10i	Blur in T of THREE		35
33	31L15	Dash under T of THREE		o 66/15
34	77L23	Moon under LL DBlk		41 o 66/10
35	--	Dot to Lt of LL DBlk		x 66/9
36	55R25	Dot at UL of LL DBlk		o 66/9
37*	--	Dot at UL of LL BBlk		47 o
38	4R26	LL Rosette Flaw	2nd row	42 o 65/10
39	--	i by LL Rosette		29 o 65/7
40	--	Dash NW of LL Rosette		o
41	61L26	Double Dot by Imprint		
42	52R24 m	Inverted Comma		
43	10L24 n	Vertical Dash at Lt		
44	--	Tessellated Flaw		
45-	--	reserved	5-line recut	

* Flaw is illustrated on partial figure

a Sources: 1-Cabene illustration number from Chronicle 6, Plate B
2-an "o" indicates that illustration was prepared from a copy in the author's collection; an "x" indicates an approximate location from a narrative description; 3-a "i" shows the page and paragraph in the Chase 3c Book, a "Chr #" shows a Chronicle number.

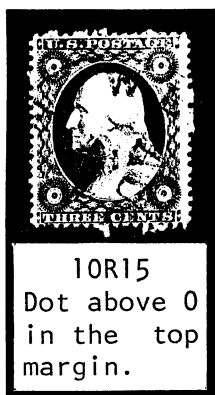
b Shows on both early and late states

c Shows on early and intermediate states(also on late(see Chr 83))

PLATE FLAWS: There are several different types of plate flaws that can be found on the 3¢ issue. Some flaws are a result of defects in the metal used to make the plates. In some cases the metal was harder in some places than it was over the rest of the plate which resulted in high spots that didn't hold the ink. In other places the metal was softer than the adjacent metal which allowed the design to hold more ink than normal. Other flaws were caused by careless handling of the plate such as letting a hard object drop onto the plate causing a pit in the surface that held ink. These flaws range from the size of a dot to a series of four large gouges on one position.

Flaws in the Top Margin

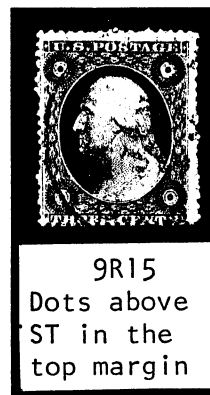
5



6



7



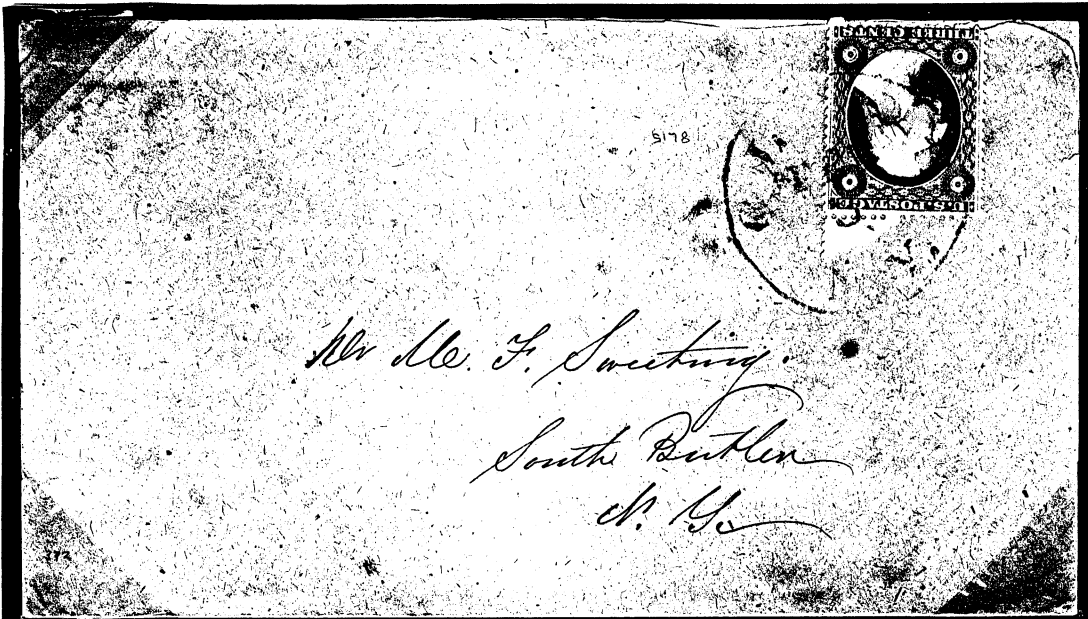
9



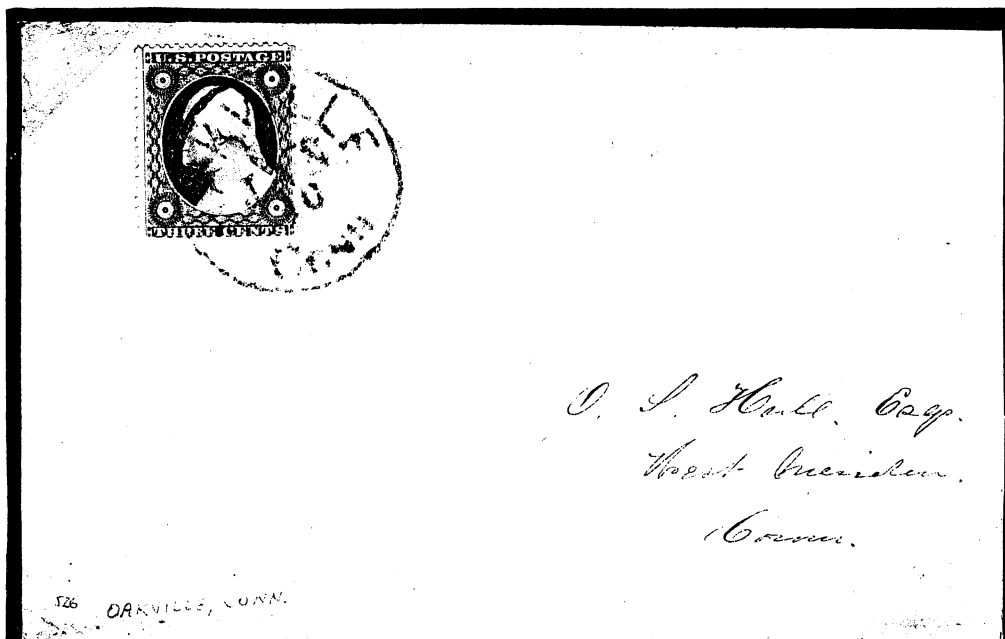
10



8



8L15 Flaw over A of Postage-This flaw shows only on copies that show at least a part of the top sheet



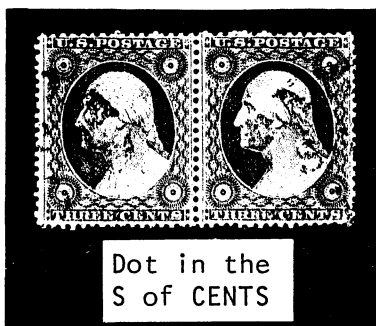
Dot over Diamond Block-A strong dot appears over the UR diamond block between the two positions.

Flaws in the Lettering

27



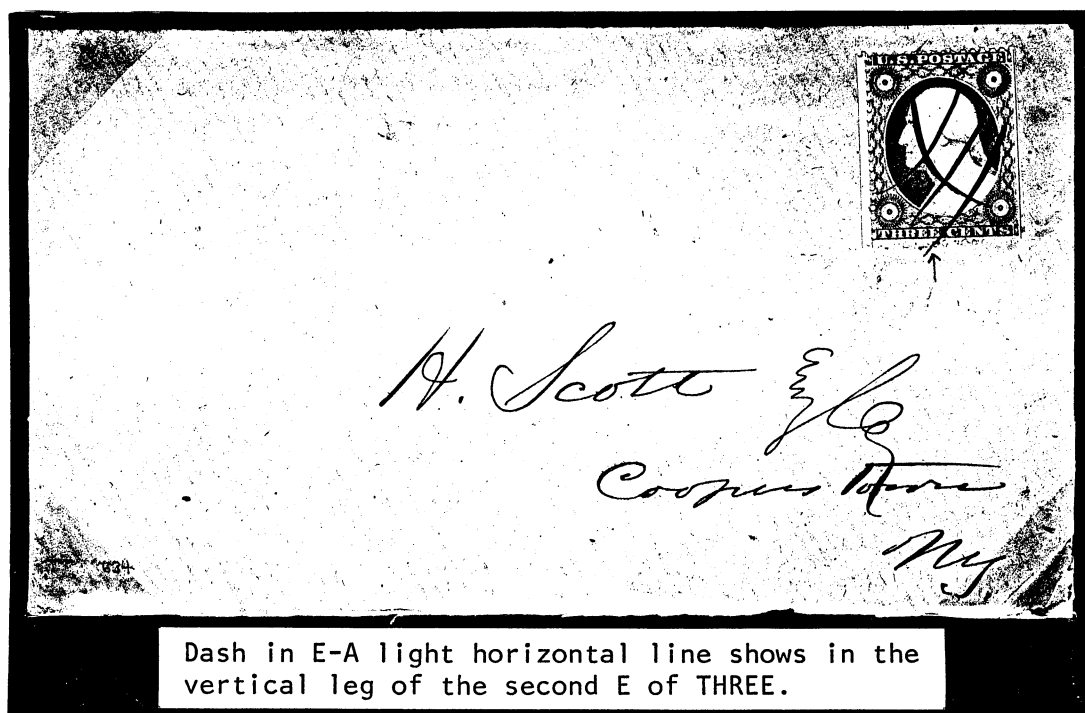
22



28



29



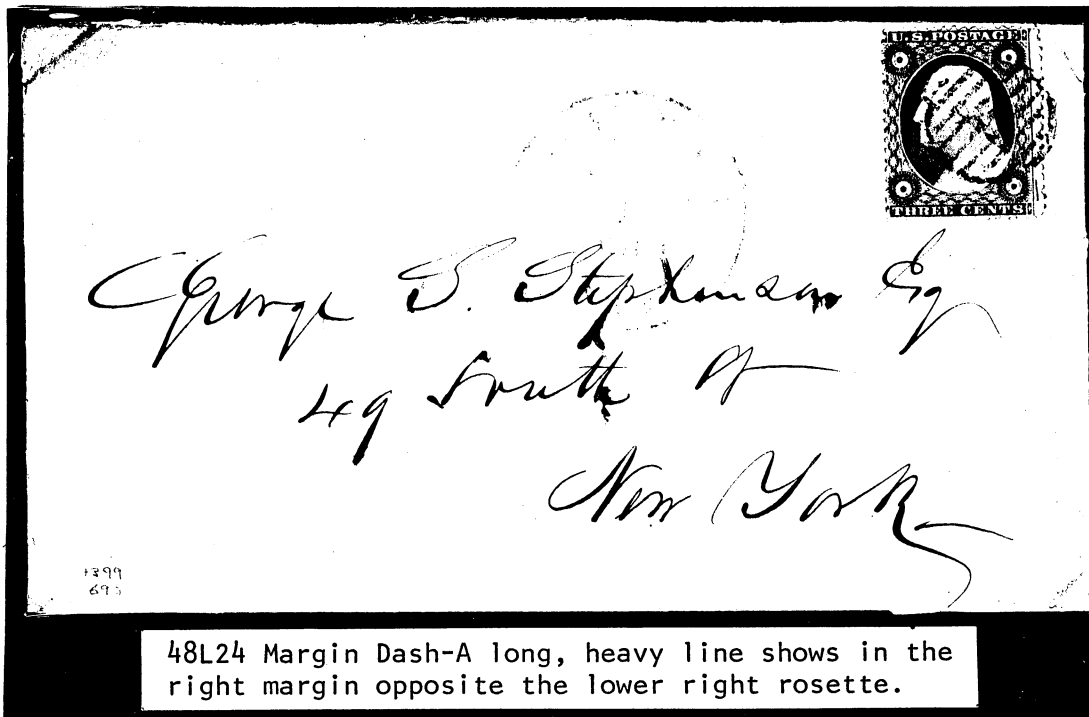
A. J. Wallace, Esq.
Little River
Spartanburg

670

2L9 U.S. Flaw-A thick line shows running through the vertical bars of the U.S. in the label.

Flaws in the Left, Right
and Bottom Margins.

19



48L24 Margin Dash-A long, heavy line shows in the
right margin opposite the lower right rosette.

42



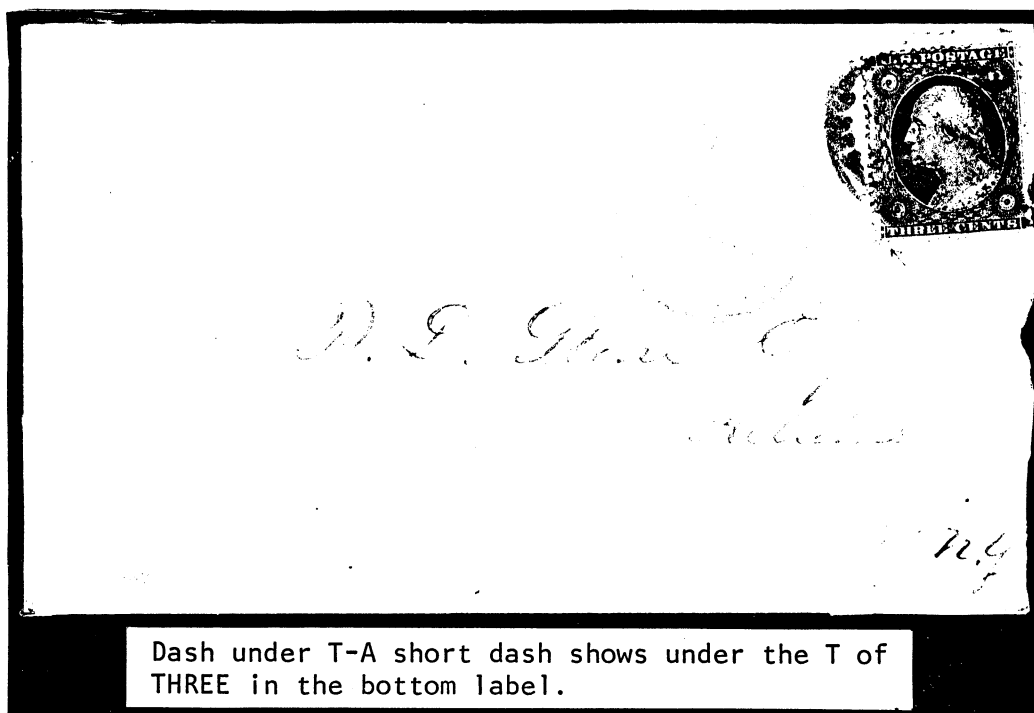
52L24
Dot at left
opposite
the chin.

35

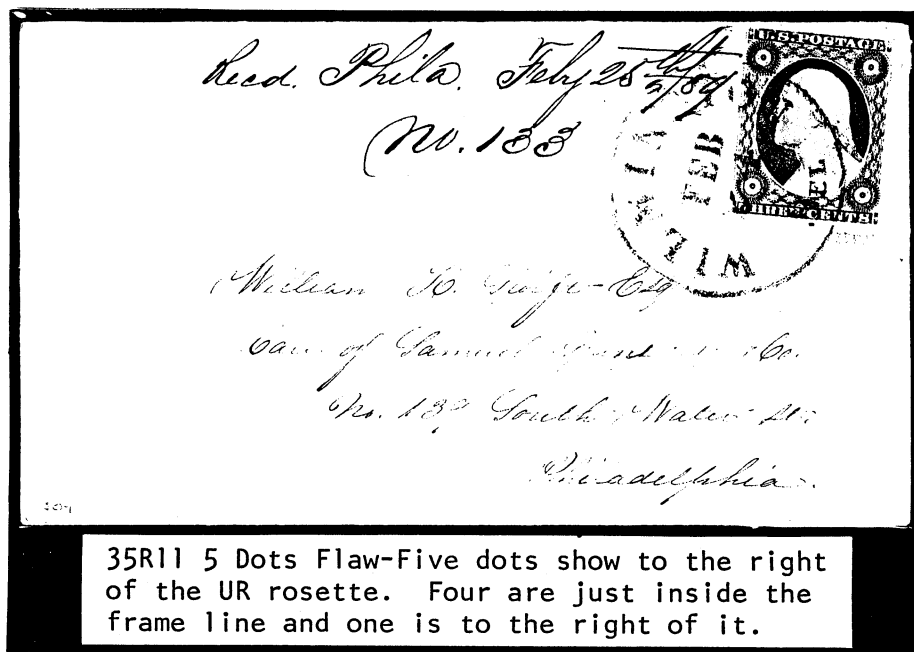


Dot to left
of the LL
diamond blk

33

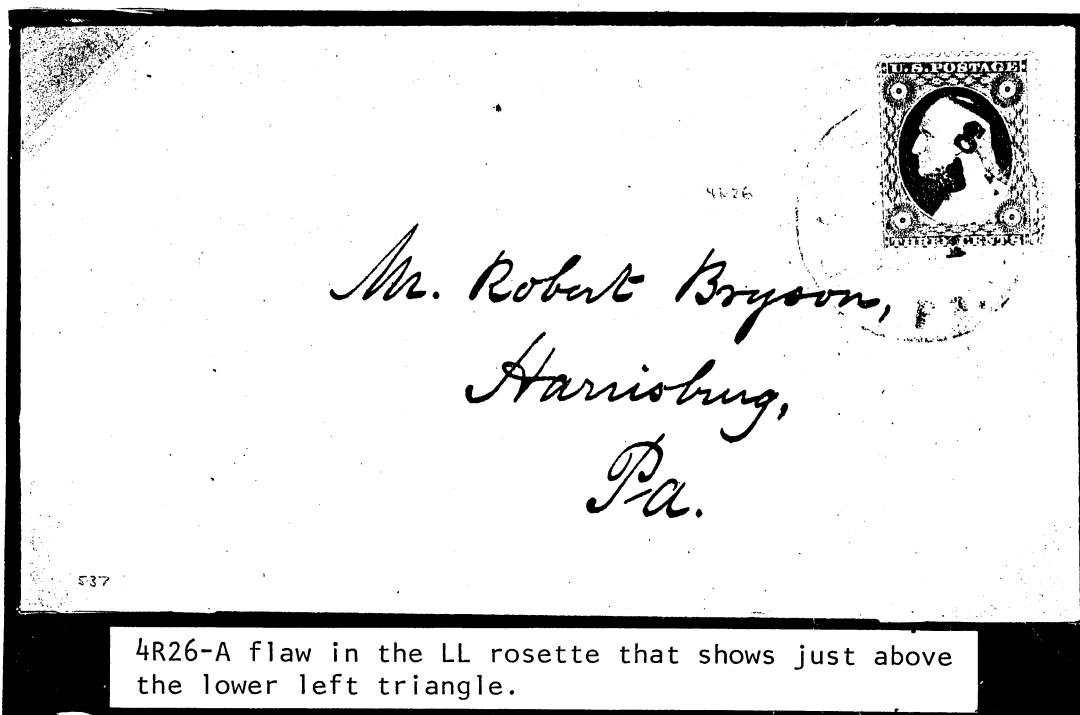


15

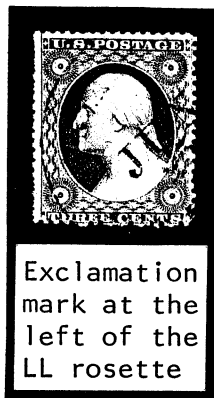


Flaws in the Design outside
of the Medallion

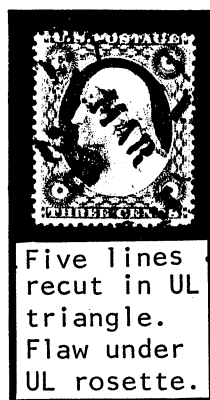
38



39



44

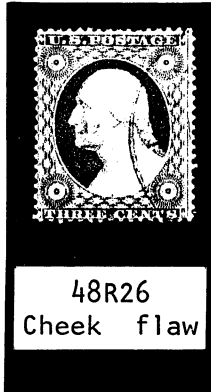


36



Flaws in the Medallion

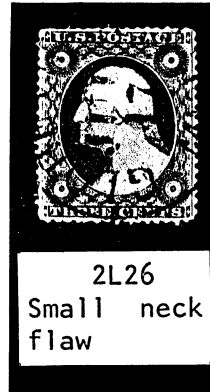
80



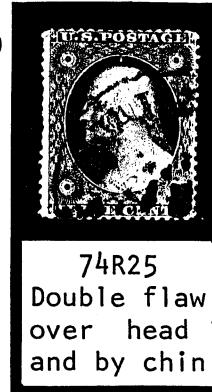
75



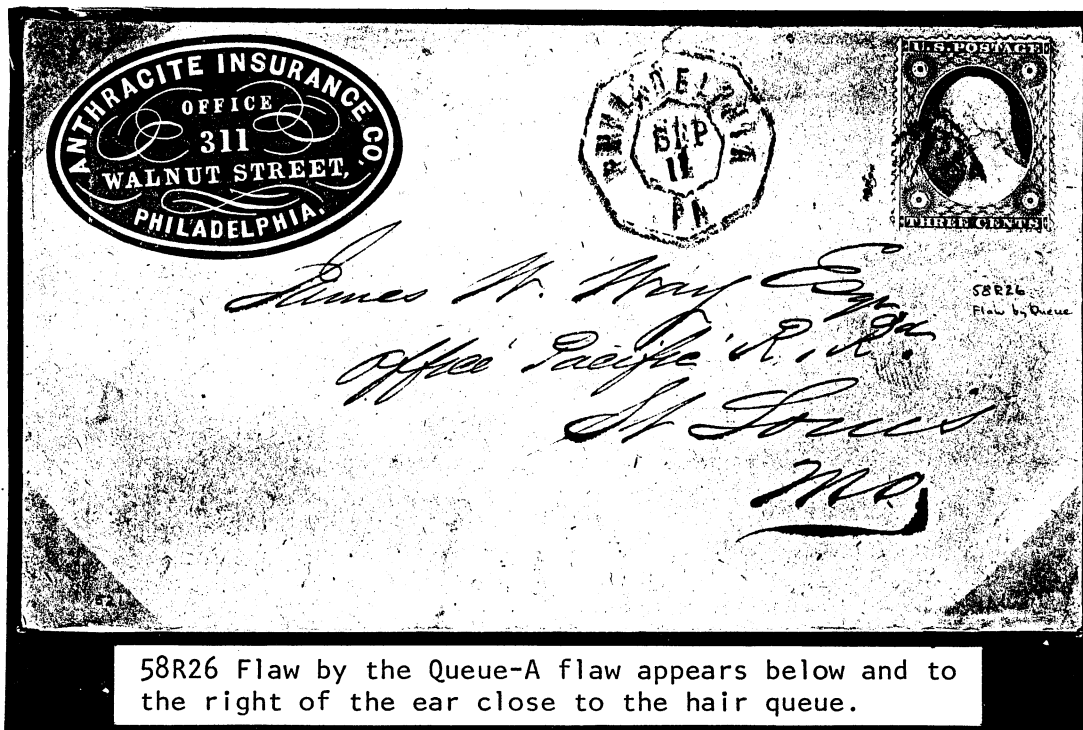
78



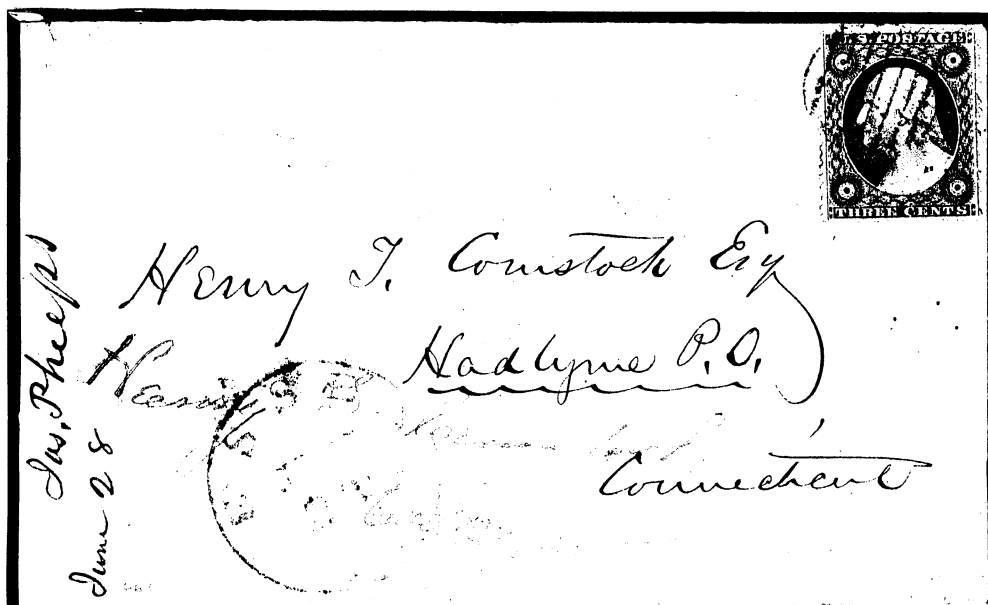
60



64



63



Quadruple Flaw-Four flaws showing in one position one under the toga button, one above that on the neck and two above that in the hair.

73



Torn toga over the E of CENTS

65



14R28
Queue flaw

81

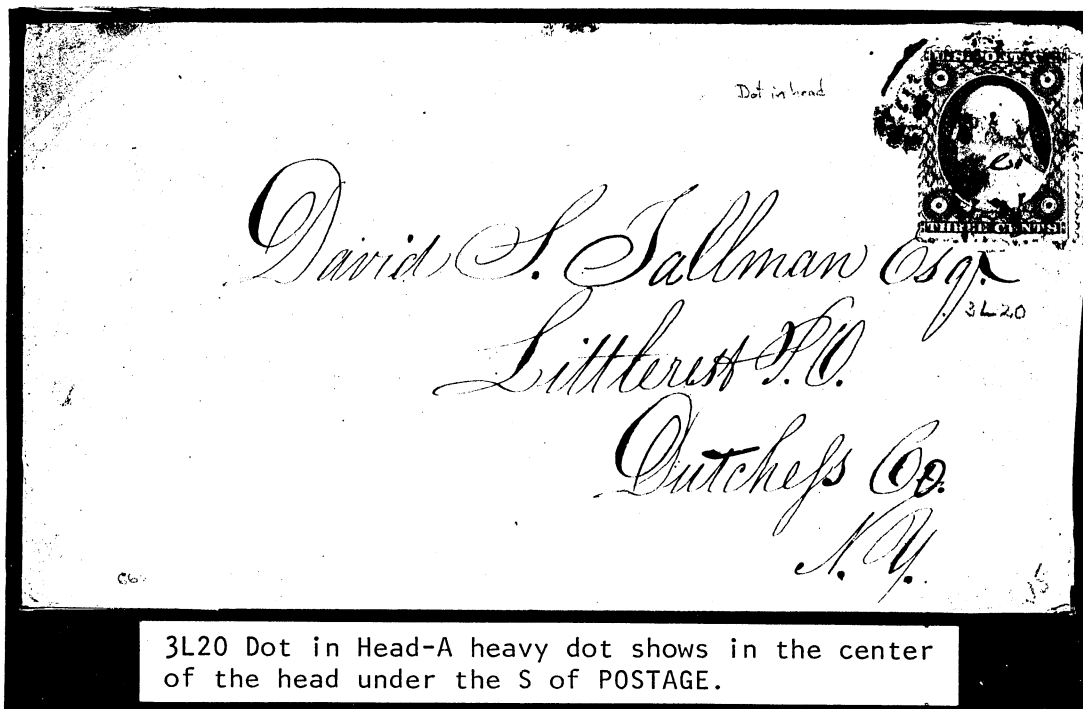


27R28
Double flaw by the nose

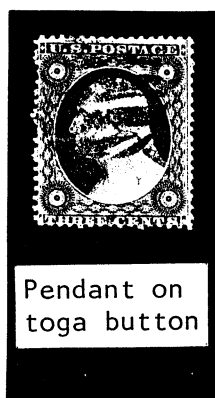


Single flaw by the nose

61



71



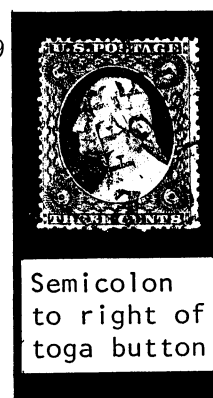
70



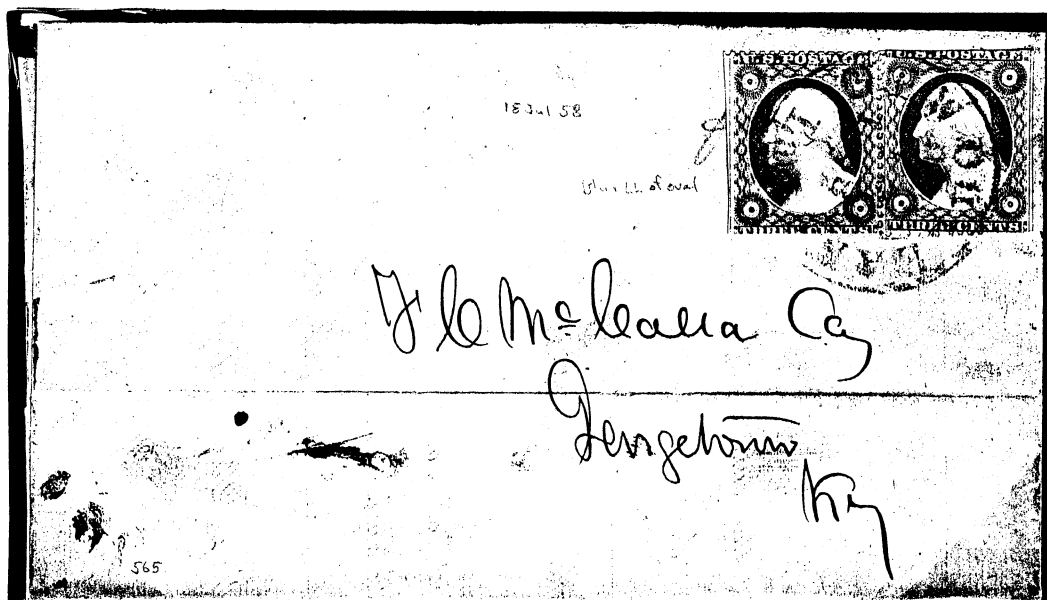
67



69

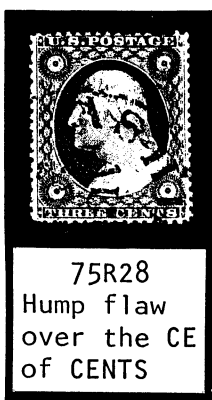


77



Blur in Oval-The left stamp shows a blur to the upper right of the LL rosette caused by a high place on the plate that didn't "hold" the ink.

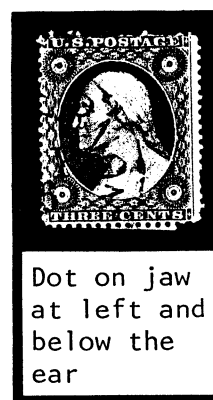
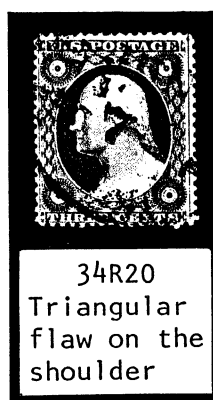
73



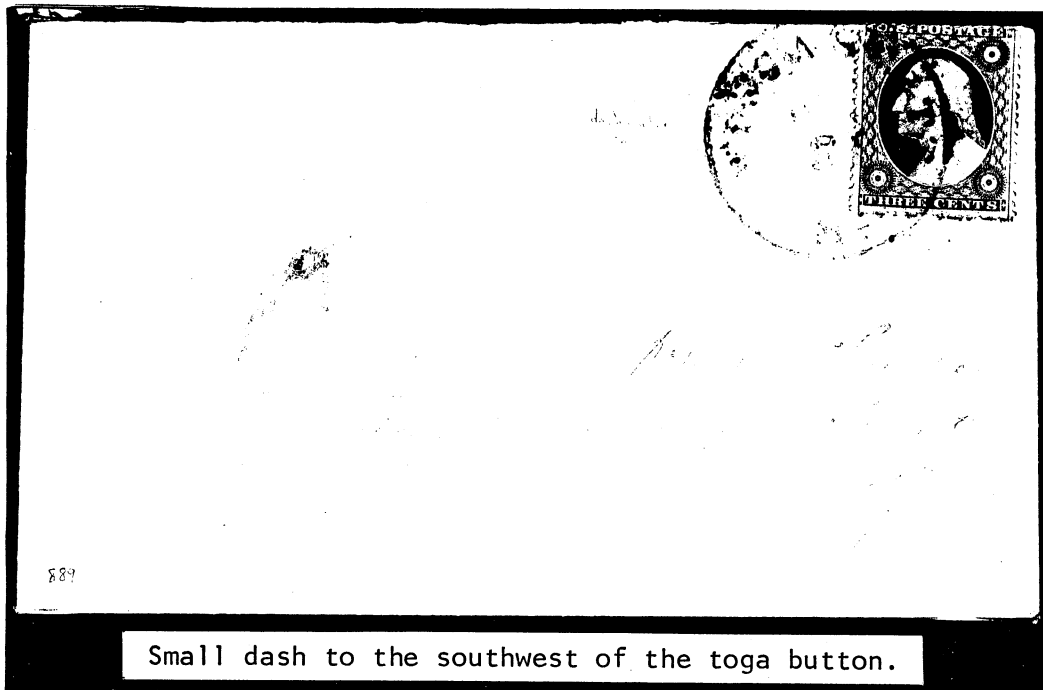
68



79



72



Small dash to the southwest of the toga button.

PRINTING VARIETIES: Since the printing of these stamps was done by hand, many varieties can be found that show some carelessness on the part of the printers. First, the plate was inked and the excess ink was wiped off by hand. The paper used had to be wet for the ink from the plate to adhere to the paper. The paper was placed on the press and pressure was applied. The printed sheet was then removed from the press and stacked to dry. These examples show some of the problems that the printers experienced in producing the large quantities of sheets that were needed to satisfy the needs of the public.



Foreign material
adhereing to plate
during the printing
process



Smudge caused by
careless removing
of the sheet from
printing press



Overall toning that
is characteristic of
an early impression
from the plate



Preprinting paper
crease caused by
not smoothing the
wet sheet of paper



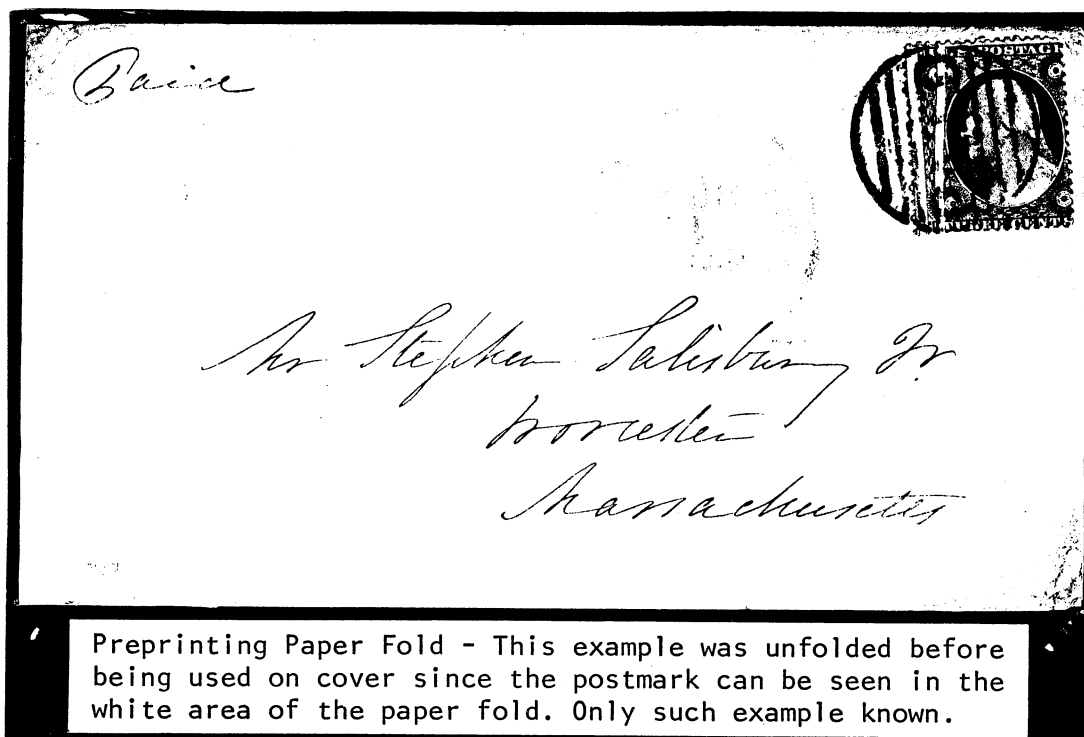
Dry paper impression
caused by the corner
of the sheet drying
before being printed



Smears in the stamp
caused by careless
handling of the
sheet before drying



Unevenly wiped plate
with more ink wiped
from the bottom than
from the top



PERFORATION VARIETIES: Although the stamp manufacturers became better at aligning the perforations as time went by, they still had problems in properly aligning the sheets of stamps so that the perforations would fall between the stamps. Double vertical perforations are frequently found when an attempt was made to realign the sheet at the proper place.

